

Receipt

BURST-3 CIP1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark O. Worthington et al.  
Serial No. : 09/311,329  
Filed : May 14, 1999  
For : TRACKABLE OPTICAL DISCS WITH CONCURRENTLY  
READABLE NONOPERATIONAL FEATURES  
Group Art Unit : 2753

Hon. Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Attention: Application Processing Division's  
Customer Correction Branch

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AUG 19 1999  
TC 2700 MAIL ROOM

REQUEST FOR ISSUANCE OF CORRECTED FILING RECEIPT

Sir:

This is a request for issuance of a corrected Filing Receipt for the above-identified patent application. The filing receipt (copy enclosed) lists the attorney docket number as "BRUST-3-CIP1." That is incorrect.

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The correct attorney docket number is "BURST-3-CIP1" as shown on the first page of the filed application (a copy of which is enclosed).

The Filing Receipt also lists the filing date as May 11, 1999. This is incorrect. The correct filing date is **May 14, 1999** as shown on the Express Mail Certification on the Transmittal Letter and the Express Mail Order Slip filled out by the Fish & Neave Patent Department and the Mailroom personnel, copies of which are enclosed. The Post Office erred in filling out the Express Mail receipt, writing May 11, 1999 rather than May 14, 1999. A copy of the Express Mail receipt is also enclosed.

Accordingly, applicant respectfully requests that the Patent and Trademark Office issue a corrected Filing Receipt reflecting the correct attorney docket number and application filing date.

The Commissioner is hereby authorized to charge any fees required in connection with this Request to Deposit Account

No. 06-1075. A duplicate copy of this Request is enclosed herewith.

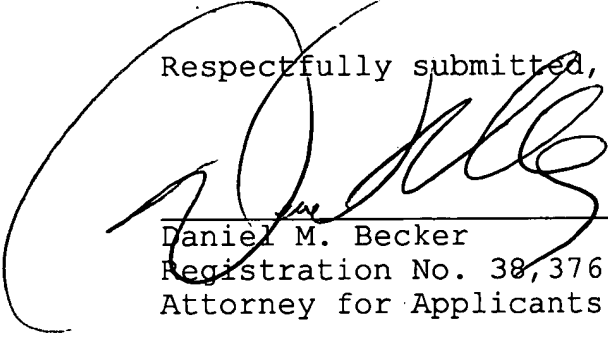
14 JULY 1999  
Date

I hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to:  
ASSISTANT COMMISSIONER  
FOR PATENTS WASHINGTON,  
D.C. 20231, ON

7.15.99  
SUSAN B. SNIDER

Susan Snider  
Signature of Person Signing

Respectfully submitted,

  
Daniel M. Becker  
Registration No. 38,376  
Attorney for Applicants

FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020  
Tel.: (650) 617-4000

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/311,329	05/11/99	2753	\$3,714.00	BRUST-3-CIP1	41	161	5

DANIEL M BECKER  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
NEW YORK NY 10020-1104

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) MARK O. WORTHINGTON, TUSTIN, CA; JORMA VIRTANEN, IRVINE, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A CIP OF 09/183,842 10/30/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/30/99

TITLE

TRACKABLE OPTICAL DISCS WITH CONCURRENTLY READABLE NONOPERATIONAL FEATURES

PRELIMINARY CLASS: 369

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FISH & NEAVE - PATENT DEPT.  
REFERRED TO DMB  
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DATA ENTRY BY: WILLIAMS, EVERETT, TEAM: 01 DATE: 06/30/99

|||||

(See reverse for new important information)



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Trackable Optical Discs With Concurrently Readable  
Nonoperational Features

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of  
5 co-owned and copending application serial no.  
09/183,842, filed October 30, 1998, the disclosure of  
which is incorporated herein by reference.

FIELD OF THE INVENTION

The present invention relates to the design,  
10 manufacture and use of optical discs and optical disk  
readers and writers. Specifically, the invention  
relates to the design, manufacture and use of optical  
discs that permit the concurrent and discriminable  
acquisition of signals from nonoperational features of  
15 the disc, such as analyte-specific signal elements, and  
from operational features of the disc, such as tracking  
attributes.

REV. 11/98  
For Other Than A Small Entity

Attorney Docket No. BURST-3 CIP1

Applicants : Mark O. Worthington et al.  
For : TRACKABLE OPTICAL DISCS WITH  
CONCURRENTLY READABLE NONOPERATIONAL  
FEATURES

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EL188598031US.

Date of Deposit May 14, 1999.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Assistant Commissioner for Patents, Washington D.C. 20231.

  
Susan Snider

Hon. Assistant Commissioner  
for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR UNEXECUTED  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the  
[X] specification; [X] claims; [X] abstract; [X] unexecuted  
declaration, for the above-identified patent application.

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Also transmitted herewith are:

☒ 41 sheets of:

☐ Formal drawings.

☒ Informal drawings. Formal drawings will be filed during the pendency of this application.

☐ Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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from which priority is claimed.

☐ An assignment of the invention to \_\_\_\_\_

☐ A check in the amount of \$40.00 to cover the recording fee.

☒ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$ 760.00
TOTAL CLAIMS	161	- 20 = 141	X \$18 =	\$2538.00
INDEPENDENT CLAIMS	5	- 3 = 2	X \$78 =	\$ 156.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$260 =	\$ 260.00
TOTAL				<u>\$3714.00</u>

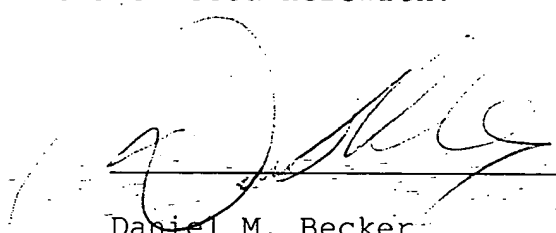
[X] A check in the amount of \$3714.00 in payment of the filing fee is transmitted herewith.

[ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(d).

[X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

1994.14.1999  
Date

  
Daniel M. Becker  
Registration No. 38,376  
Attorney for Applicants

FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (650) 617-4000





Express Mail Order Slip

To Be Completed By Pat. Dept.:

Date: 5.14.99

Express Mail Label No.: EL188598031 US

Docket No.: BURST-3 CIP1

Application No.: 00520.003

To Be Completed By Mailroom Clerk at Post Office:

Date and Time of Mailing: 4:57 5.14.99

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Date In Mo. Day Year 7/1/99	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$ 15.75
Time In <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM 1700	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight lbs. 10.6 ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$ 15.75

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<b>FROM: (PLEASE PRINT)</b> FISH & NEAVE 525 UNIVERSITY AVE STE 300 PALO ALTO CA 94301-1917  Daniel M. Beckkr BURST-3 CIP1	<b>TO: (PLEASE PRINT)</b> PHONE (650) 617.4000  Hon. Assistant Commissioner for Patents BOX PATENT APPLICATION Washington, D.C. 20231
<b>PRESS HARD.</b> FOR PICKUP OR TRACKING CALL 1-800-222-1811 <a href="http://www.usps.gov">www.usps.gov</a>	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1/5/2013

Applicant : Mark Worthington et al. 1-949-453-1800

Serial No. 09/311,329

Filed: May 14, 1999

For: TRACKABLE OPTICAL DISCS WITH CONCURRENTLY  
READABLE NONOPERATIONAL FEATURES

Hon. Assistant Commissioner  
for Patents  
Washington, D.C. 20231

REQUEST FOR SMALL ENTITY  
STATUS AND REQUEST FOR REFUND

Sir:

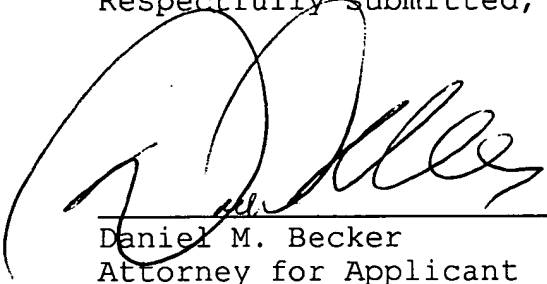
Small entity status for purposes of paying fees in the above-identified patent application is hereby requested. The required verified statement of the assignee (Burstein Laboratories, Inc.) claiming small entity status in this application is submitted herewith under 37 C.F.R. §§ 1.9(d) and 1.27(c).

Pursuant to 37 C.F.R. §§ 1.26(a) and 1.28(a), applicant also respectfully requests a refund of \$1857.00, comprising 50% of the \$3,714.00 application filing and excess claims fees paid May 14, 1998.

The Commissioner is thereby authorized to credit the requested refund to Deposit Account No. 06-1075. A duplicate copy of this request is enclosed.

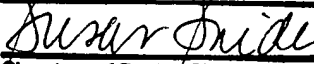
Respectfully submitted,

15 JUL 1999  
Date

  
Daniel M. Becker  
Attorney for Applicant  
Registration No. 38,376

I hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to: ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231, ON

c/o FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020  
Tel.: (650) 617-4000

7-15-99  
SUSAN B. SNIDER  
  
Signature of Person Signing